#### Sparse coding



### Barlow (1972)

Perception, 1972, volume 1, pages 371-394

#### Single units and sensation: A neuron doctrine for perceptual psychology?

#### H B Barlow

Department of Physiology-Anatomy, University of California, Berkeley, California 94720 Received 6 December 1972

Abstract. The problem discussed is the relationship between the firing of single neurons in sensory pathways and subjectively experienced sensations. The conclusions are formulated as the following five dogmas:

1. To understand nervous function one needs to look at interactions at a cellular level, rather than either a more macroscopic or microscopic level, because behaviour depends upon the organized

2. The sensory system is organized to achieve as complete a representation of the sensory stimulus as possible with the minimum number of active neurons.

neurons, each of which corresponds to a pattern of external events of the order of complexity of the events symbolized by a word.

5. High impulse frequency in such neurons corresponds to high certainty that the trigger feature is present.

The development of the concepts leading up to these speculative dogmas, their experimental basis, and some of their limitations are discussed.



## Barlow (1972)

The second dogma goes beyond the evidence, but it attempts to make sense out of it. It asserts that the overall direction or aim of information processing in higher sensory centres is to represent the input as completely as possible by activity in as few neurons as possible (Barlow, 1961, 1969b). In other words, not only the proportion but also the actual number of active neurons, K, is reduced, while as much information as possible about the input is preserved.

### V1 is highly overcomplete





#### Evidence for grandmother cells?

(Quiroga, Reddy, Kreiman, Koch & Fried, Nature 2005)









## How to learn sparse, distributed representations?

![](_page_9_Figure_1.jpeg)

#### Biological Cybernetics

#### Forming sparse representations by local anti-Hebbian learning

#### P. Földiák

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$$\frac{\mathrm{d}y_i^*}{\mathrm{d}t} = f\left(\sum_{j=1}^m q_{ij}x_j + \sum_{j=1}^n w_{ij}y_j^* - t_i\right) - y_i^*$$

![](_page_10_Figure_6.jpeg)

anti-Hebbian rule-  $\Delta w_{ij} = -\alpha (y_i y_j - p^2)$ (if i = j or  $w_{ij} > 0$  then  $w_{ij} := 0$ ) Hebbian rule- $\Delta q_{ij} = \beta y_i (x_j - q_{ij})$ threshold modification-

$$\Delta t_i = \gamma(y_i - p) \; .$$

#### Learning lines

Input patterns:

![](_page_11_Figure_2.jpeg)

Learned weights:

![](_page_11_Picture_4.jpeg)

# V1 simple-cell receptive fields are localized, oriented, and bandpass. Why?

![](_page_12_Picture_1.jpeg)

## Principal components of natural image patches (8 x 8 pixels)

![](_page_13_Picture_1.jpeg)

- Not localized
- Not oriented

PCA is incapable of learning about localized, oriented structure in images.

#### I/f noise

(what the world looks like if all you care about are pairwise correlations)

![](_page_14_Picture_2.jpeg)

#### Higher-order image statistics

phase alignment

orientation

motion

![](_page_15_Figure_4.jpeg)

#### Projection pursuit (from Field 1994)

Find higher-order structure by maximizing non-Gaussianity of projections

![](_page_16_Figure_2.jpeg)

B

![](_page_16_Figure_3.jpeg)

**Response Amplitude** 

## Gabor-filter response histograms are highly non-Gaussian

![](_page_17_Figure_1.jpeg)

#### Sparse coding model of V1 (Olshausen & Field, 1996)

![](_page_18_Figure_1.jpeg)

$$I(x,y) = \sum_{i} a_{i} \phi_{i}(x,y) + \epsilon(x,y)$$

#### **Energy function**

![](_page_19_Figure_1.jpeg)

#### Cost function

$$C(a_i) = \log(1 + a_i^2)$$

![](_page_20_Figure_2.jpeg)

![](_page_21_Figure_0.jpeg)

ai

#### Inference

$$\hat{\mathbf{a}} = \arg\min_{a} \left[ \frac{1}{2} |\mathbf{I} - \mathbf{\Phi} \mathbf{a}|^2 + \lambda \sum_{i} C(a_i) \right]$$

#### Compute coefficients via gradient descent

$$\tau \dot{a}_i = -\frac{dE}{da_i}$$
$$= b_i - \sum_{j \neq i} G_{ij} a_j - f_\lambda(a_i)$$

Where

$$b_i = \sum_{x,y} \phi_i(x,y) I(x,y)$$
$$G_{ij} = \sum_{x,y} \phi_i(x,y) \phi_j(x,y)$$
$$f_{\lambda}(a_i) = a_i + \lambda C'(a_i)$$

#### Alternative formulation (the Hopfield trick)

Let

$$u_{i} = f_{\lambda}(a_{i}), \text{ or } a_{i} = f_{\lambda}^{-1}(u_{i}) \equiv g(u_{i})$$
$$\tau \dot{u}_{i} = -\frac{dE}{da_{i}}$$
$$= b_{i} - \sum_{j \neq i} G_{ij} a_{j} - u_{i}$$

Thus

$$\tau \dot{u}_i + u_i = b_i - \sum_{j \neq i} G_{ij} a_j$$
$$a_i = g(u_i)$$

#### Neural circuit for computing sparse codes

(Rozell, Johnson, Baraniuk & Olshausen, 2008)

![](_page_25_Picture_2.jpeg)

**Solves** 

$$\hat{\mathbf{a}} = \arg\min_{\mathbf{a}} |\mathbf{I} - \Phi \mathbf{a}|^2 + \lambda \sum_{i} C(a_i)$$

$$\tau \dot{u}_i + u_i = b_i - \sum_{j \neq i} G_{ij} a_j$$
$$a_i = g(u_i)$$

$$b_{i} = \sum_{\vec{x}} \phi_{i}(\vec{x}) I(\vec{x})$$
$$G_{ij} = \sum_{\vec{x}} \phi_{i}(\vec{x}) \phi_{j}(\vec{x})$$

### Learning

$$\hat{\Phi} = \arg \min_{\Phi} \frac{1}{2} |\mathbf{I} - \Phi \, \hat{\mathbf{a}}|^2$$
$$\Delta \phi_i = -\eta \frac{\partial E}{\partial \phi_i}$$
$$= [\mathbf{I} - \Phi \, \hat{\mathbf{a}}] \, \hat{a}_i \longleftarrow \text{learning rule}$$

## Features learned from natural images (200, 12x12 pixels)

![](_page_27_Picture_1.jpeg)

## Sparsification

![](_page_28_Figure_1.jpeg)

## 'Explaining away'

![](_page_29_Figure_1.jpeg)

![](_page_29_Figure_2.jpeg)

![](_page_29_Figure_3.jpeg)

![](_page_29_Figure_4.jpeg)

![](_page_29_Figure_5.jpeg)

Explaining away can account for non-classical surround effects such as end-stopping (Lee et al., 2006; Zhu & Rozell, 2013)

![](_page_30_Figure_1.jpeg)

### Evidence for sparse coding

Mushroom body, locust (Laurent)

HVC, zebra finch (Fee)

Auditory cortex, mouse (DeWeese & Zador)

Hippocampus, rat/primate (Thompson & Best; Skaggs)

Motor cortex, rabbit (Swadlow)

Barrel cortex, rat (Brecht)

Visual cortex, monkey/cat (Vinje & Gallant)

Visual cortex, cat (Gray; McCormick)

Inferotemporal cortex, human (Fried & Koch)

Olshausen BA, Field DJ (2004) Sparse coding of sensory inputs. *Current Opinion in Neurobiology*, 14, 481-487.

![](_page_32_Figure_0.jpeg)

## VI is highly overcomplete

![](_page_33_Figure_1.jpeg)

1.25x

![](_page_34_Picture_1.jpeg)

![](_page_34_Picture_2.jpeg)

2.5x

![](_page_34_Figure_4.jpeg)

![](_page_34_Figure_5.jpeg)

#### Full 10x dictionary

![](_page_35_Picture_1.jpeg)

![](_page_36_Picture_0.jpeg)

100x overcomplete learned dictionary

(obtained by Charles Cadieu after running for 8 hours on 16 GPU's)

![](_page_37_Picture_2.jpeg)

![](_page_38_Picture_0.jpeg)

Faces (charles cadieu)

![](_page_39_Figure_1.jpeg)

### Sparse coding of time-varying images

$$I(x, y, t) = \sum_{i} a_i(t) * \phi_i(x, y, t) + \nu(x, y, t)$$

![](_page_40_Figure_2.jpeg)

## Learned basis space-time basis functions (200 bfs, $12 \times 12 \times 7$ )

![](_page_41_Figure_1.jpeg)

#### Sparse coding and reconstruction

![](_page_42_Picture_1.jpeg)

![](_page_42_Figure_2.jpeg)

#### Sparse coding of natural sounds (Smith & Lewicki 2006)

$$s(t) = \sum_{i} a_i(t) * \phi_i(t) + \nu(t)$$

$$p_i(t) = \frac{1}{2} \left[ \frac{1}{2} \right]_{1} \left[ \frac{1}{2}$$

#### Sparse coding of natural sounds (Smith & Lewicki 2006)

![](_page_44_Figure_1.jpeg)

## Sparse coding of neural recording data (Phil Sallee, Ph.D. thesis)

#### **Polytrode recordings**

**Silicon polytrodes** 

#### Spiking activity

![](_page_46_Figure_3.jpeg)

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Blanche et al. (2005)

#### Learned basis for high-pass filtered polytrode data

![](_page_47_Figure_1.jpeg)

![](_page_47_Figure_2.jpeg)

![](_page_47_Figure_3.jpeg)

#### Learned basis for low-pass filtered polytrode data

![](_page_48_Figure_1.jpeg)

![](_page_48_Figure_2.jpeg)

![](_page_48_Figure_3.jpeg)

#### Human MEG

(Alexandre Gramfort lab, Université Paris-Saclay)

$$y_i(t) = \sum \phi_{ij}(t) * x_j(t) + \epsilon_i(t)$$

recorded waveform on sensor i j spatiotemporal features

latent cause j (sparse)

 $\phi_{ij}(t) = u_{ij} v_j(t)$ 

(assumes space-time separability)

other

stuff

![](_page_49_Figure_9.jpeg)

#### Sparse coding of demodulated LFP reveals 'place cell' components

(Agarwal, Stevenson, Berényi, Mizuseki, Buzsáki & Sommer, 2014)

![](_page_50_Figure_2.jpeg)