

# Sparse coding via a locally competitive algorithm (LCA)

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Recall that the sparse coding image model is of the form

$$I(x, y) = \sum_i a_i \phi_i(x, y) + \epsilon(x, y) \quad (1)$$

where  $I(x, y)$  denotes the pixel intensities within an image patch ( $x$  and  $y$  are spatial coordinates), and the  $\phi_i(x, y)$  are a set of basis functions or ‘features’ for describing the image. The  $a_i$  correspond to neural activity (the subscript  $i$  denotes the index of the neuron). The goal is to find a set of features that allow images to be described using as few non-zero  $a_i$  as possible.

The energy function governing this system is as follows:

$$E = \frac{1}{2} \sum_{x,y} \left[ I(x, y) - \sum_i a_i \phi_i(x, y) \right]^2 + \lambda \sum_i C(a_i) \quad (2)$$

The neural activities  $a_i$  for a given image  $I(x, y)$  are computed by finding a minimum of this energy function. In the original sparse coding algorithm (Olshausen & Field 1996), they were computed by direct gradient descent. However this is not very efficient in terms of a numerical (algorithmic) implementation. In addition, we would like to have a *physical* implementation that corresponds more closely to what a neural population could do.

In Rozell et al. (2008), we derived a dynamical system for efficiently computing the  $a_i$  which descends the energy, but not according to steepest descent per se. This system is described by the following equations:

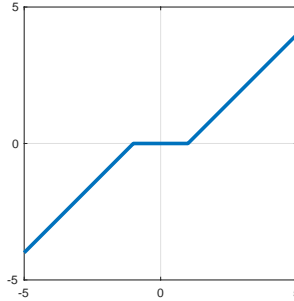
$$\tau \dot{u}_i + u_i = b_i - \sum_{j \neq i} G_{ij} a_j \quad (3)$$

$$a_i = g(u_i) \quad (4)$$

where  $b_i = \sum_{x,y} \phi_i(x, y) I(x, y)$  and  $G_{ij} = \sum_{x,y} \phi_i(x, y) \phi_j(x, y)$ . The nonlinearity  $g(\cdot)$  is determined by the form of the cost function  $C(\cdot)$ . In the case where  $C$  is the L1 norm over the coefficients, i.e.,  $C(a_i) = |a_i|$ , then  $g$  is a ‘soft-thresholding’ function of the form

$$g(u_i) = \begin{cases} u_i - \lambda & u_i > \lambda \\ 0 & -\lambda < u_i < \lambda \\ u_i + \lambda & u_i < -\lambda \end{cases} \quad (5)$$

This is plotted below for  $\lambda = 1$ . It is also called a “shrinkage” function because it shrinks the values below threshold to zero, and those above threshold by  $\lambda$ .



We called this method a “locally competitive algorithm” (LCA) because it computes the coefficients through a local competition (lateral inhibition) and thresholding. The LCA has a simple neural implementation as follows: each neuron is a leaky integrator that is driven by a feedforward term - the  $b_i$ , which is the inner product between the neuron’s receptive field  $\phi_i(x, y)$  and image  $I(x, y)$  - and inhibited by other neurons in the population by lateral connection strengths  $G_{ij}$ . The resulting subthreshold potential from the leaky integrator,  $u_i$  is passed through a threshold function  $g$  to give the neurons output  $a_i$ .

The learning rule for the  $\phi_i(x, y)$  is the same as in the original algorithm. It is derived via direct gradient descent on  $E$  using the coefficient values  $\hat{a}_i$  computed from the LCA. This yields the update rule

$$\Delta\phi_i(x, y) = \eta r(x, y) \hat{a}_i \tag{6}$$

where  $r(x, y) = I(x, y) - \sum_i \hat{a}_i \phi_i(x, y)$  and  $\eta$  is the learning rate. Note that the equations given above for LCA are conditioned on the basis functions having unit length, so after each update they must be renormalized so that  $\sum_{x,y} \phi_i(x, y)^2 = 1 \ \forall_i$ .

To summarize the above, the LCA computes for each image

$$\hat{\mathbf{a}} = \arg \min_{\mathbf{a}} E(\mathbf{I}, \mathbf{a}; \Phi) \tag{7}$$

and the learning rule seeks to solve

$$\Phi^* = \arg \min_{\Phi} \langle E(\mathbf{I}, \hat{\mathbf{a}}; \Phi) \rangle \tag{8}$$

where the brackets  $\langle \ \rangle$  denote average over many (millions) images.