

# Sparse Coding as a Generative Model

$$S = \sum_{i=1}^M a_i \phi_i + \epsilon$$

The diagram illustrates the equation  $S = \sum_{i=1}^M a_i \phi_i + \epsilon$  with the following components and labels:

- $S$  (blue box): image vector
- $\sum_{i=1}^M$  (black): summation over  $M$  terms
- $a_i$  (orange box): neural activity (sparse)
- $\phi_i$  (purple box): feature vector
- $\epsilon$  (cyan box): other stuff

# Find activations by descending E

$$E = \underbrace{\frac{1}{2} \|S - \hat{S}\|_2^2}_{\text{Preserve Information}} + \underbrace{\lambda \sum_{i=1}^M C(a_i)}_{\text{Limit Activations}}$$

# Coefficients via gradient descent

$$-\frac{\partial E}{\partial a_k} = \sum_{i=1}^N \left[ S_i \Phi_{i,k} - \sum_{j \neq k}^M \Phi_{i,k} \Phi_{i,j} a_j \right] - a_k + \lambda \frac{\partial C(a_k)}{\partial a_k}$$

$$b_k = \phi_k^T S = \sum_{i=1}^N S_i \Phi_{i,k}$$

Driving input (excitation)

$$G = \Phi^T \Phi \quad G_{i,j} = \sum_{n=1}^N \Phi_{n,i} \Phi_{n,j}$$

Lateral inhibition

$$f_\lambda(a_k) = a_k + \lambda \frac{\partial C(a_k)}{\partial a_k}$$

Self Inhibition

$$-\frac{\partial E}{\partial a_k} = b_k - \sum_{j \neq k}^M G_{k,j} a_j - f_\lambda(a_k)$$

# Network dynamics for descending E

Internal state (membrane potential):  $u_k$

Broadcasted activity:  $a_k$

The membrane potential follows the energy gradient:

$$-\frac{\partial E}{\partial a_k} = b_k - \sum_{j \neq k}^M G_{k,j} a_j - f_\lambda(a_k)$$
$$\dot{u}_k \propto -\frac{\partial E}{\partial a_k}$$
$$\dot{u}_k = \frac{1}{\tau} \left[ b_k - \sum_{m \neq k}^M G_{k,m} a_m - f_\lambda(a_k) \right]$$

membrane leak term

# Leaky integrator model

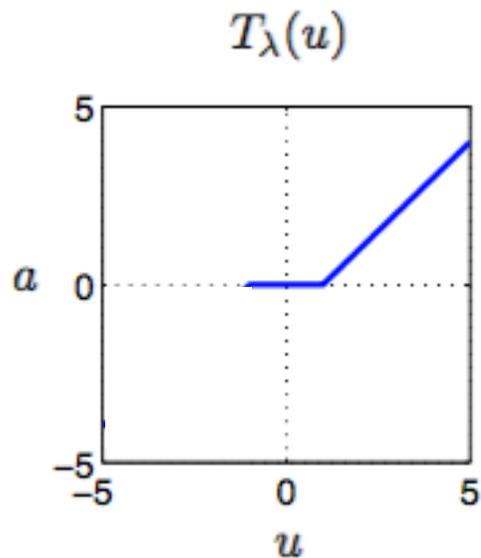
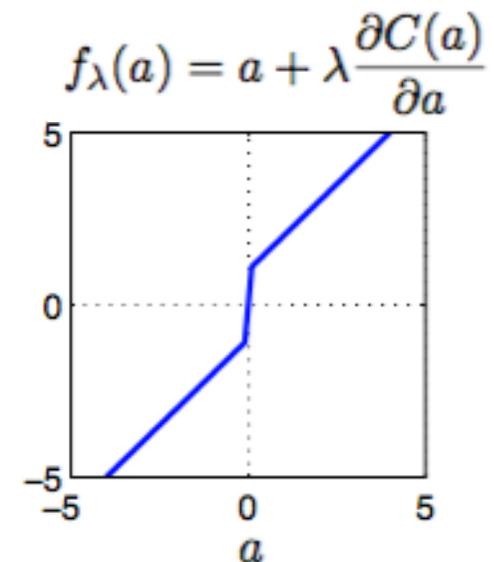
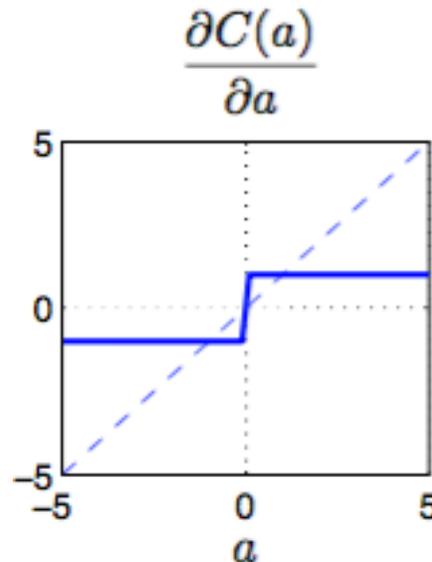
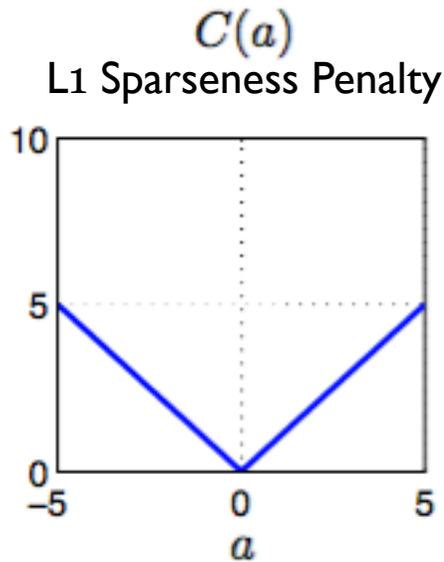
$$-\frac{\partial E}{\partial a_k} = b_k - \sum_{j \neq k}^M G_{k,j} a_j - f_\lambda(a_k)$$

$$f_\lambda(a_k) = a_k + \lambda \frac{\partial C(a_k)}{\partial a_k}$$

$$u_k = f_\lambda(a_k) \quad a_k = f_\lambda^{-1}(u_k) := T_\lambda(u_k)$$

$$\tau \dot{u}_k = -\frac{\partial E}{\partial a_k} = \underbrace{b_k}_{\text{Driving excitation}} - \overbrace{\sum_{m \neq k}^M G_{k,m} a_m}^{\text{Lateral Inhibition}} - \underbrace{u_k}_{\text{Leak}}$$

# Determining the Thresholding Function



Other transfer functions  
can also be used!

As long as the thresholding  
function is monotonically  
increasing.

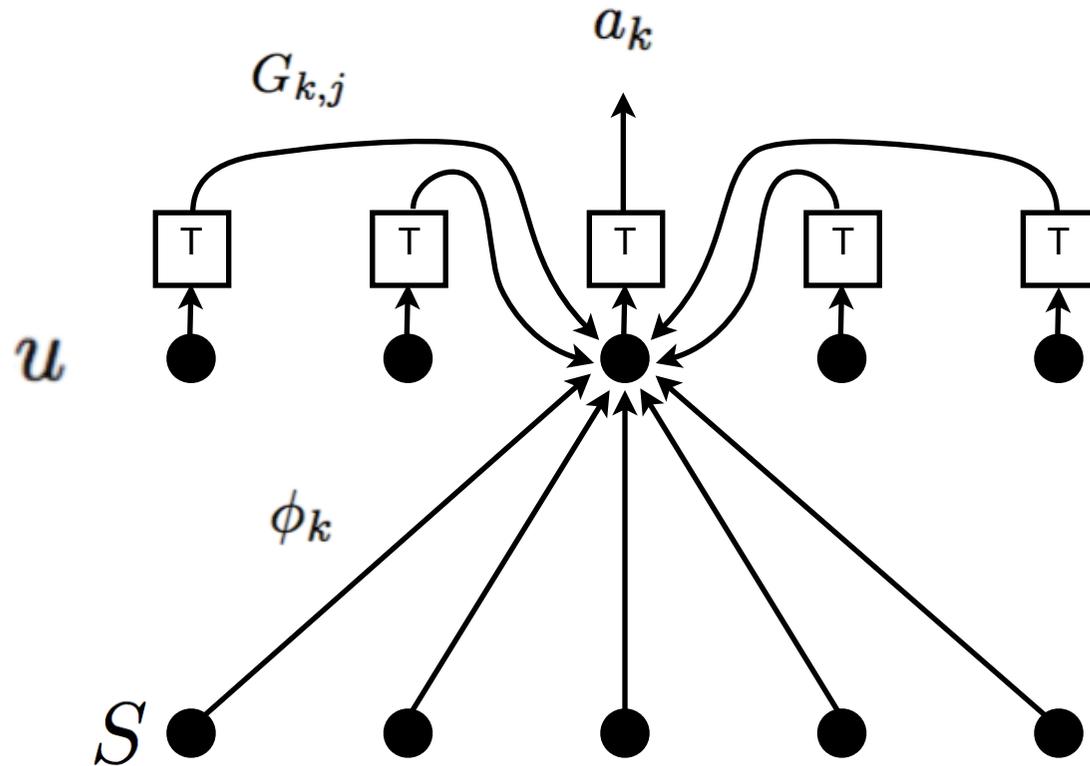
# Neuron output is thresholded membrane potential

$$\dot{u}_k = \frac{1}{\tau} \left[ \underbrace{b_k}_{\text{Driving excitation}} - \overbrace{\sum_{m \neq k}^M G_{k,m} a_m}_{\text{Lateral Inhibition}} - \underbrace{u_k}_{\text{Leak}} \right]$$

$$a_k = T_\lambda(u_k)$$

A network of neurons following these dynamics will always lower  $E$ , or else leave it unchanged, as long as  $u$  is a monotonically increasing function of  $a$ .

# Network implementation of LCA dynamics



$$\dot{u}_k = \frac{1}{\tau} \left[ \underbrace{\text{Driving excitation}}_{b_k} - \underbrace{\text{Lateral Inhibition}}_{\sum_{m \neq k}^M G_{k,m} a_m} - \underbrace{\text{Leak}}_{u_k} \right] \quad a_k = T_\lambda(u_k)$$

# Adding Inhibitory Interneurons

$$\dot{u}_k = \frac{1}{\tau} \left[ \underbrace{b_k}_{\text{Driving excitation}} - \underbrace{\sum_{m \neq k}^M G_{k,m} a_m}_{\text{Lateral Inhibition}} - \underbrace{u_k}_{\text{Leak}} \right]$$

$$G = U \Sigma V^T$$

Inhibitory > Excitatory  
Connections

Interneuron Gains  
(diagonal)

Excitatory > Inhibitory  
Connections

“G” matrix captures all of  
the recurrent influence

G can be decomposed by  
matrix factorization

Simple solution does  
not provide biologically  
realistic results...

# Alternate Solution

Decompose  $G$  matrix into **low rank** + **sparse** matrices

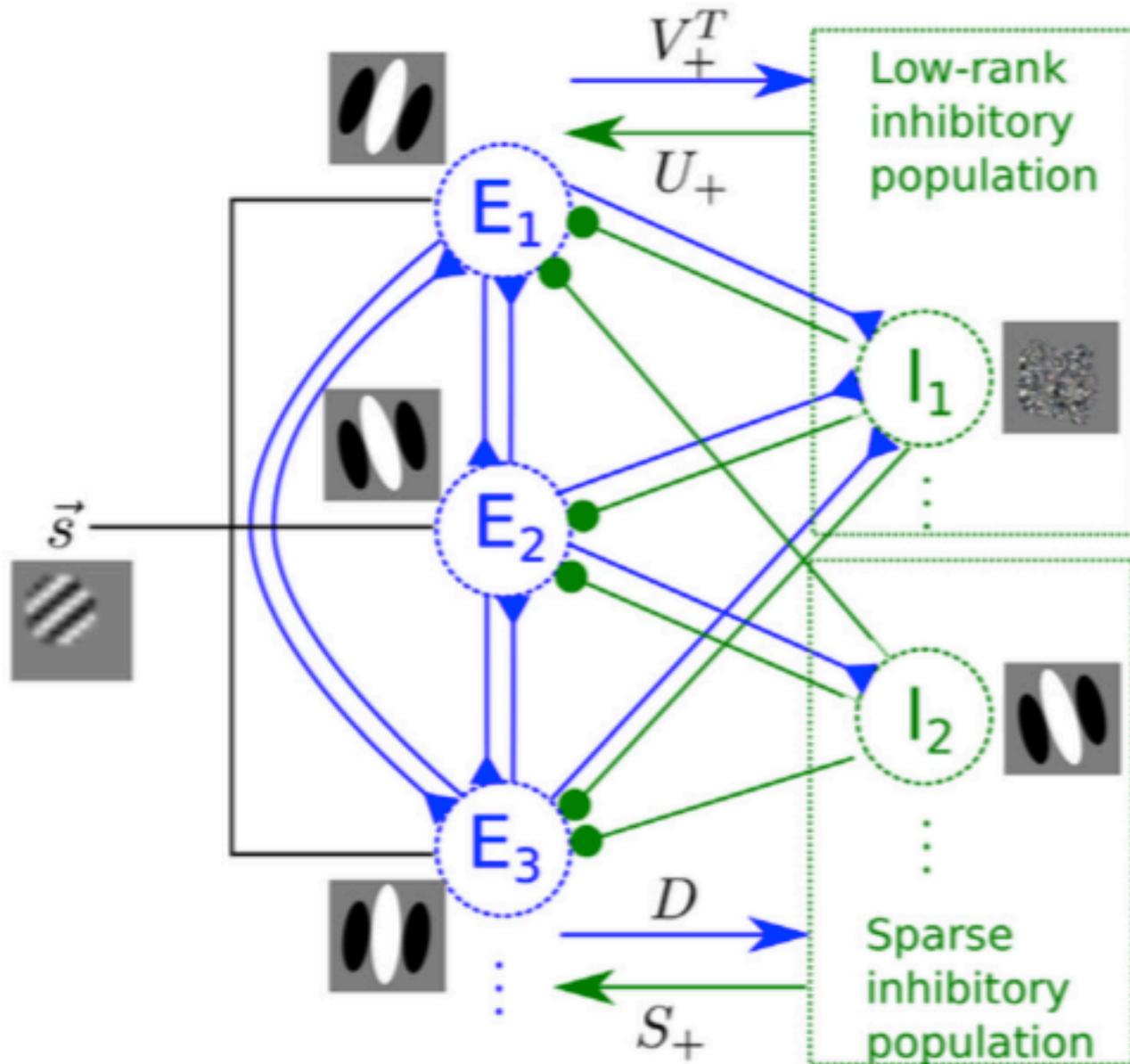
$$L, S = \arg \min_{L, S} \|L\|_* + \|AS\|_1, \text{ subject to } G = L + S,$$

Decompose **low rank** matrix to separate excitatory and inhibitory connections

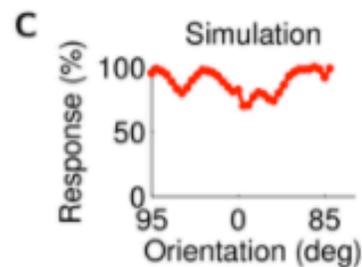
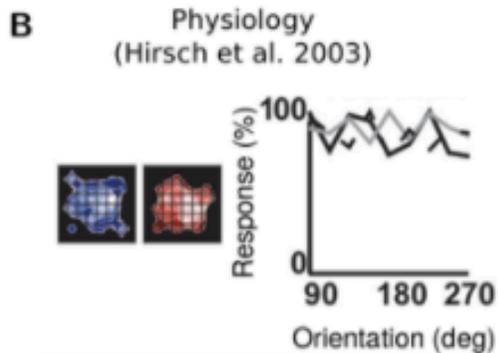
$$G = L + S = U\Sigma V^T + S$$

Two subpopulations of inhibitory interneurons, one from  $L$  and one from  $S$

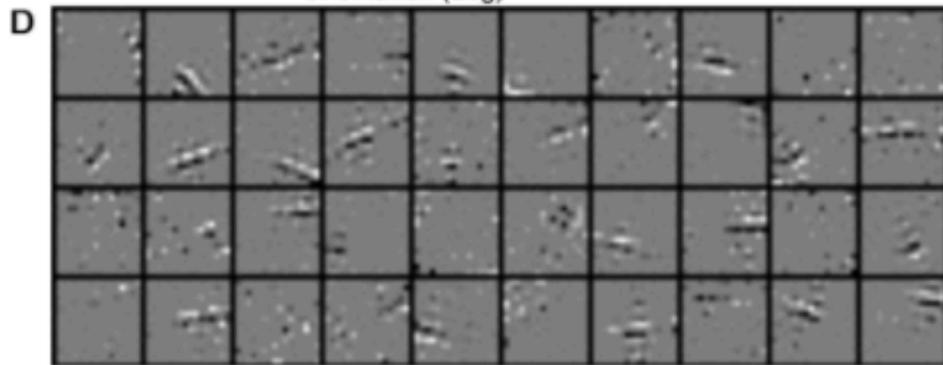
# Inhibitory Interneurons



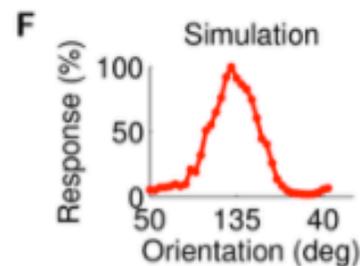
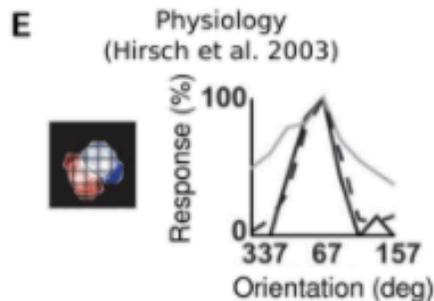
# Subpopulations match experimental data



Low rank population



Sparse population



# Inhibitory Interneurons

Solves original sparse coding problem

Respects Dale's law

Matches measured E/I cell ratios

Matches diversity of orientation tuning found in mammal study

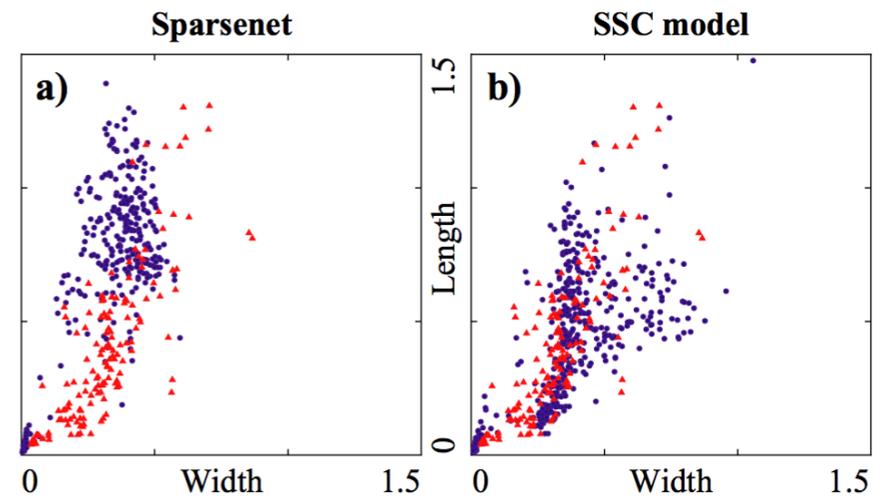
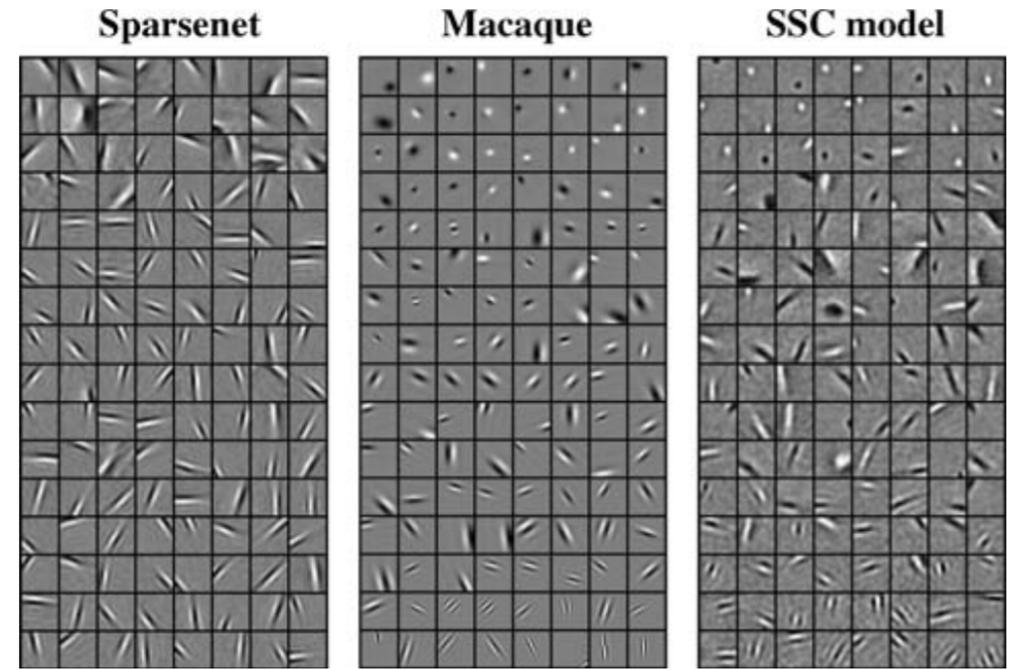
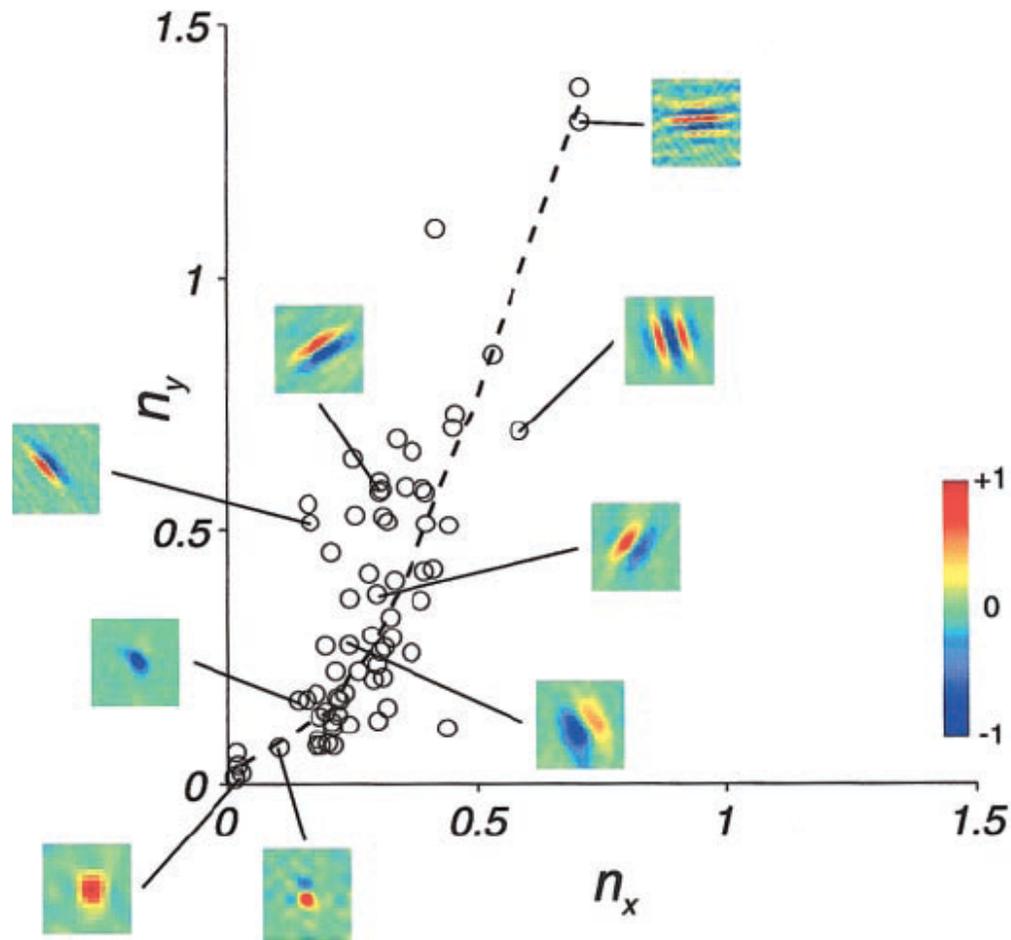
# Neuroscience connections: predictions & explanations

# Training set images from van Hateren natural scenes database



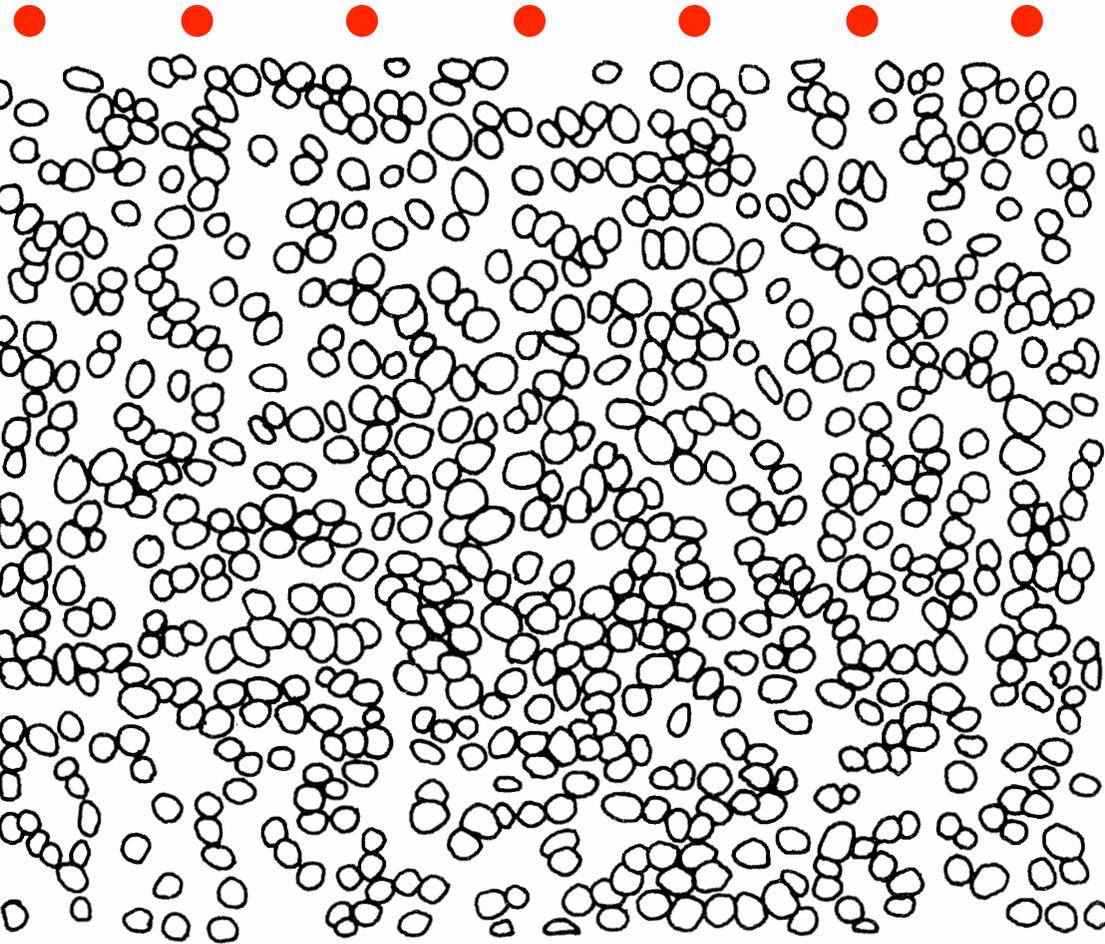
# Diversity of simple-cell RFs in macaque V1

(Ringach 2002, Rehn & Sommer 2007)



# VI is highly overcomplete

LGN  
afferents

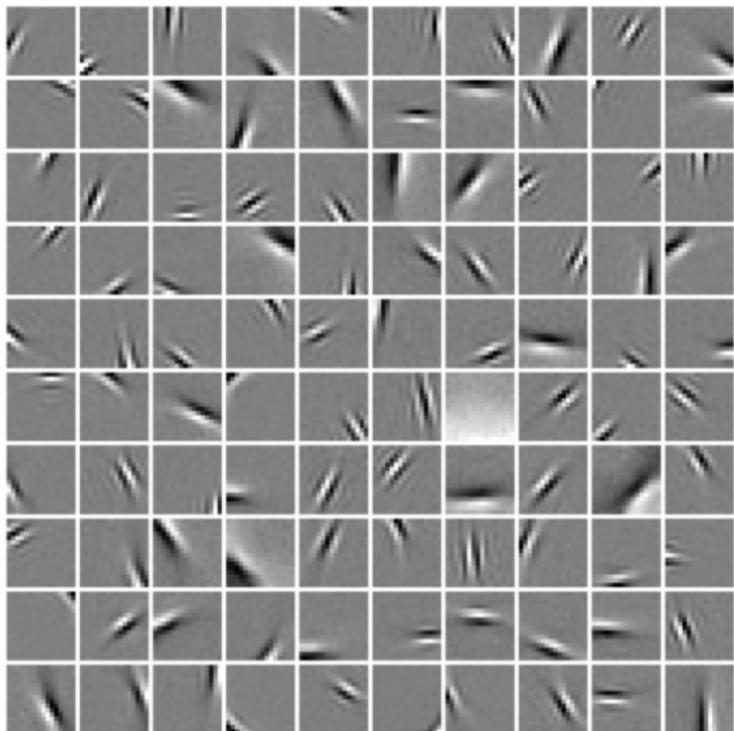


layer 4  
cortex

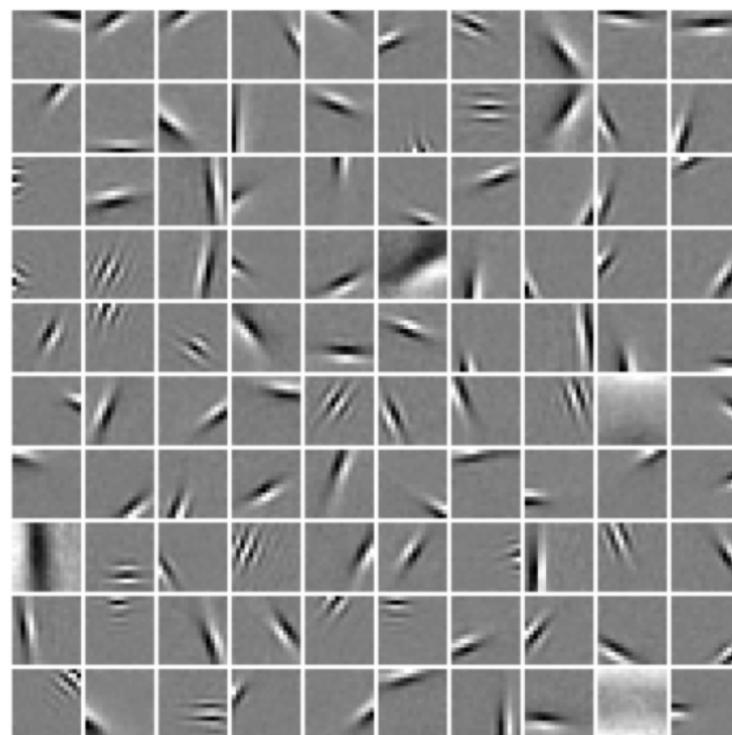
0.1 mm

Barlow (1981)

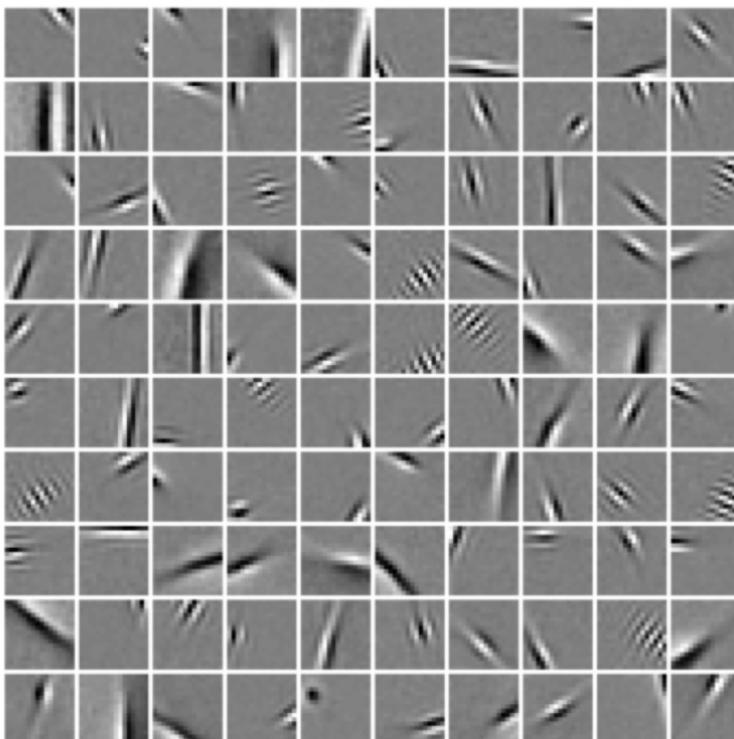
1.25x



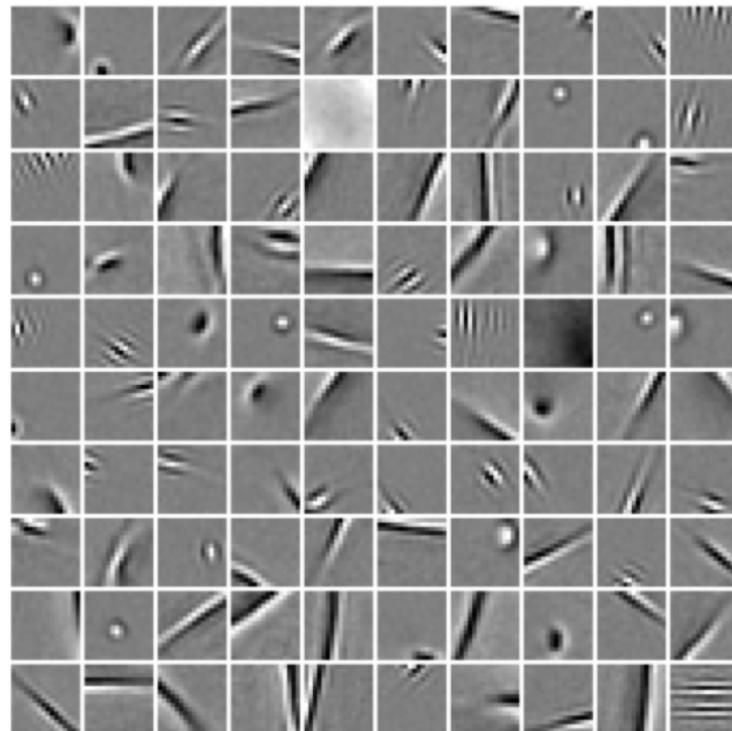
2.5x



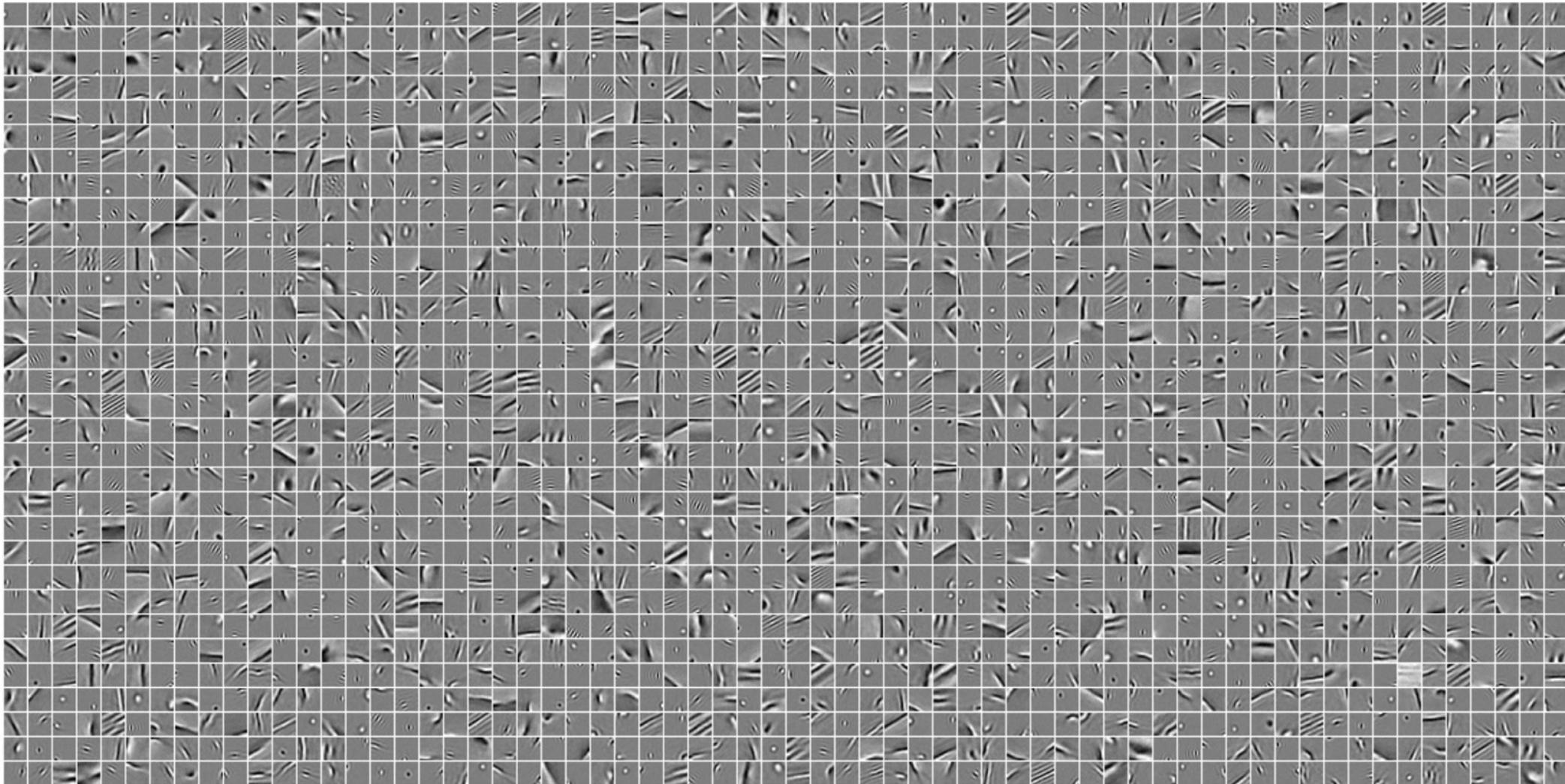
5x

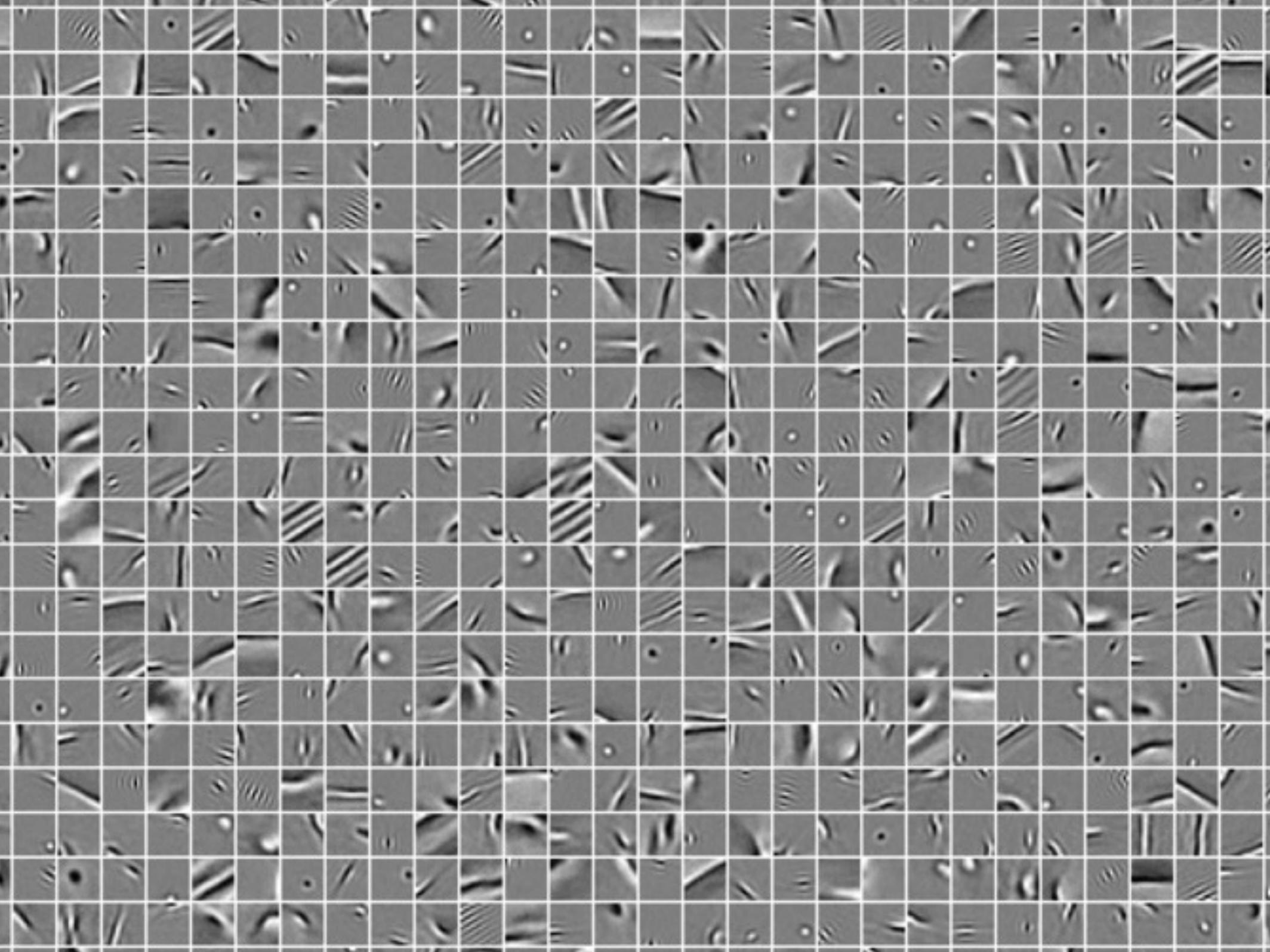


10x



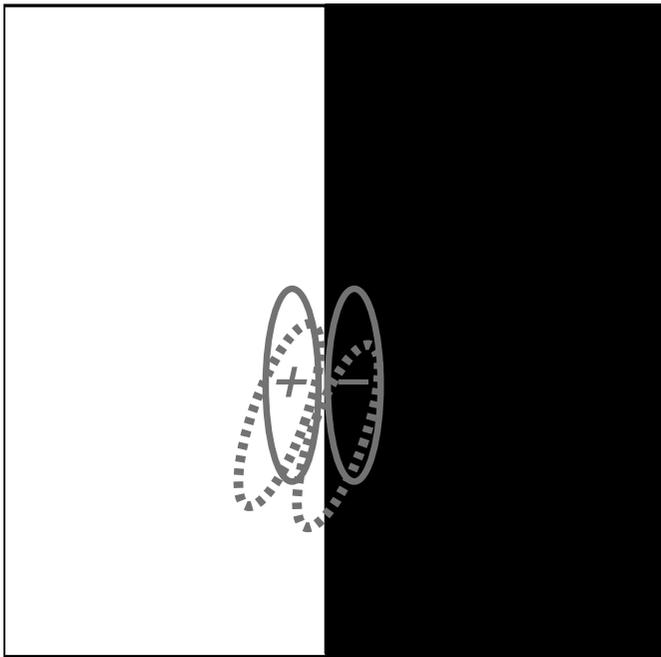
# Full 10x dictionary



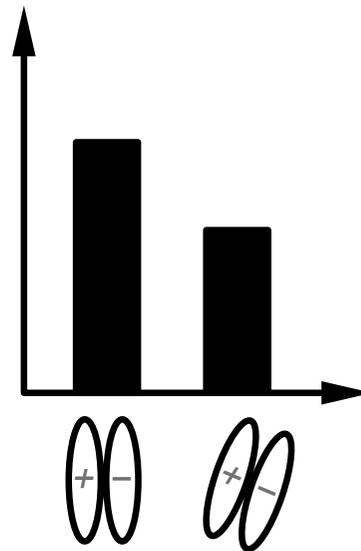


# ‘Explaining away’

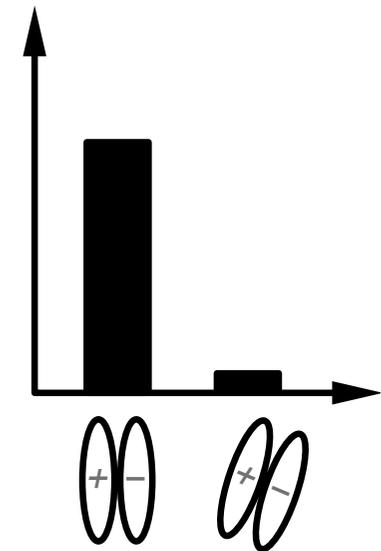
Active inference provides a more descriptive representation



Feedforward response ( $b_i$ )



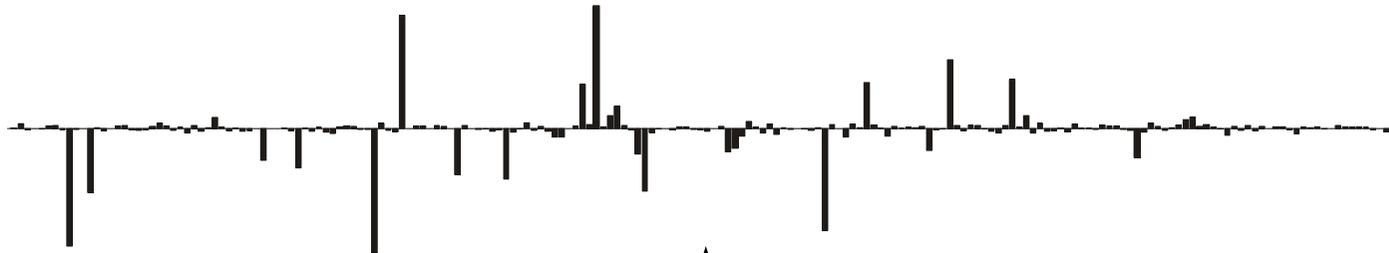
Sparsified response ( $a_i$ )



“Population” nonlinearity

# Sparsification prediction

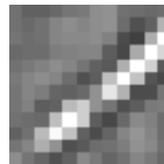
Outputs of sparse coding network ( $a_i$ )



Pixel values

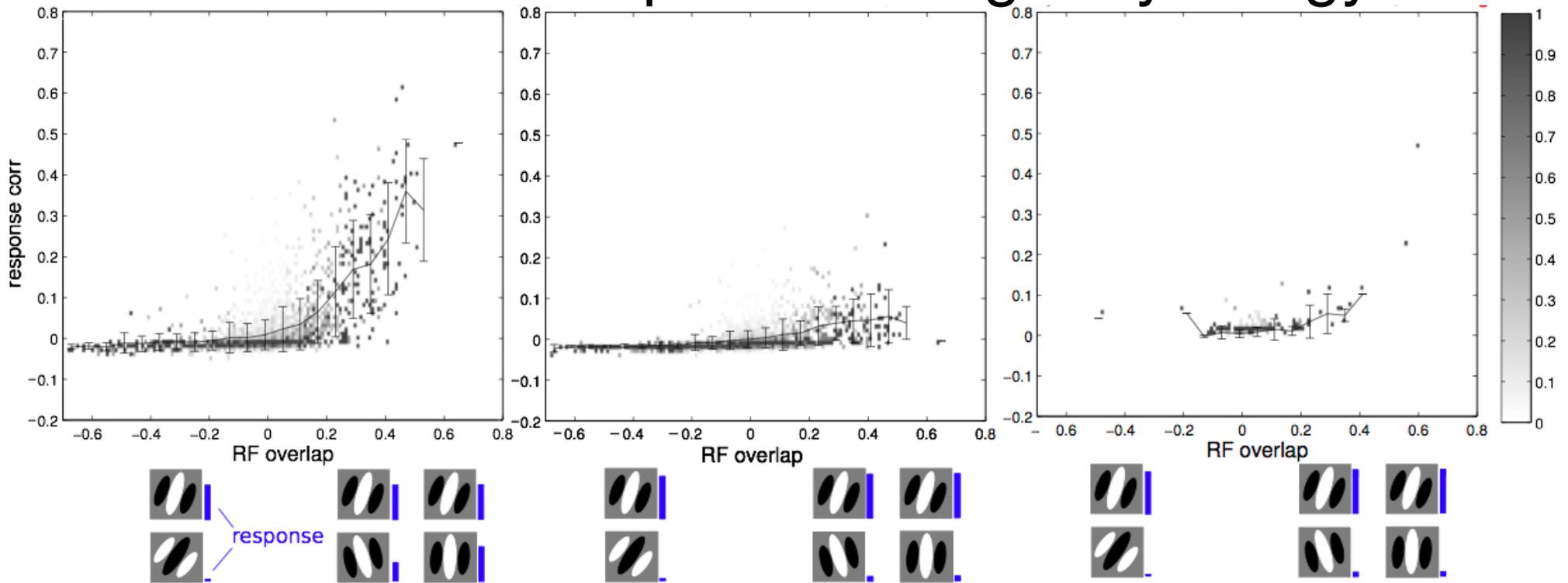


Image  $I(x,y)$



# Active decorrelation

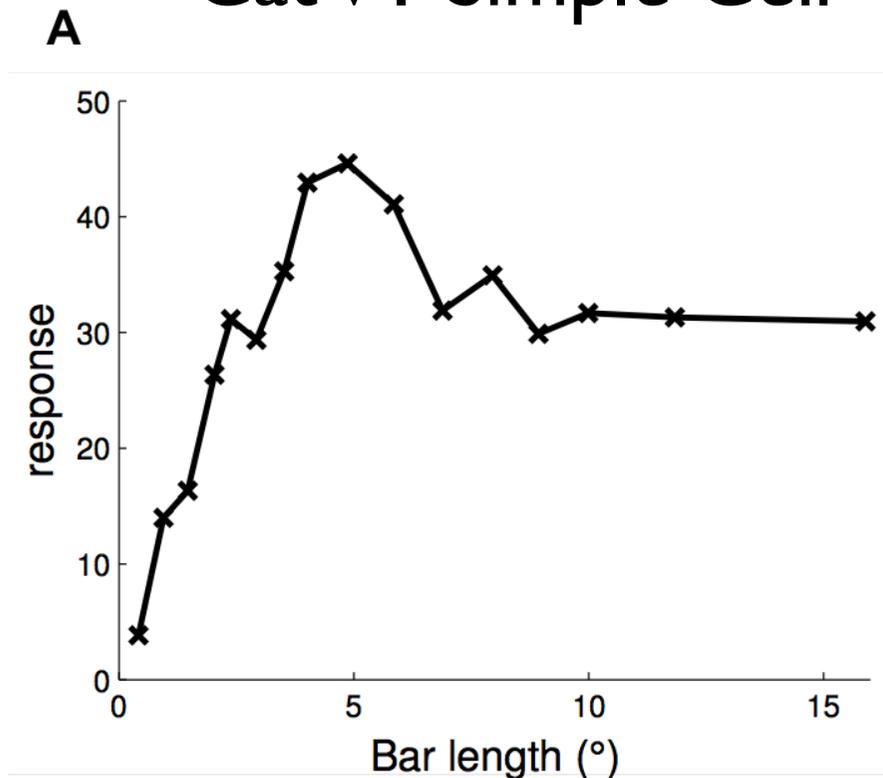
Linear non-linear Sparse coding Physiology - cat



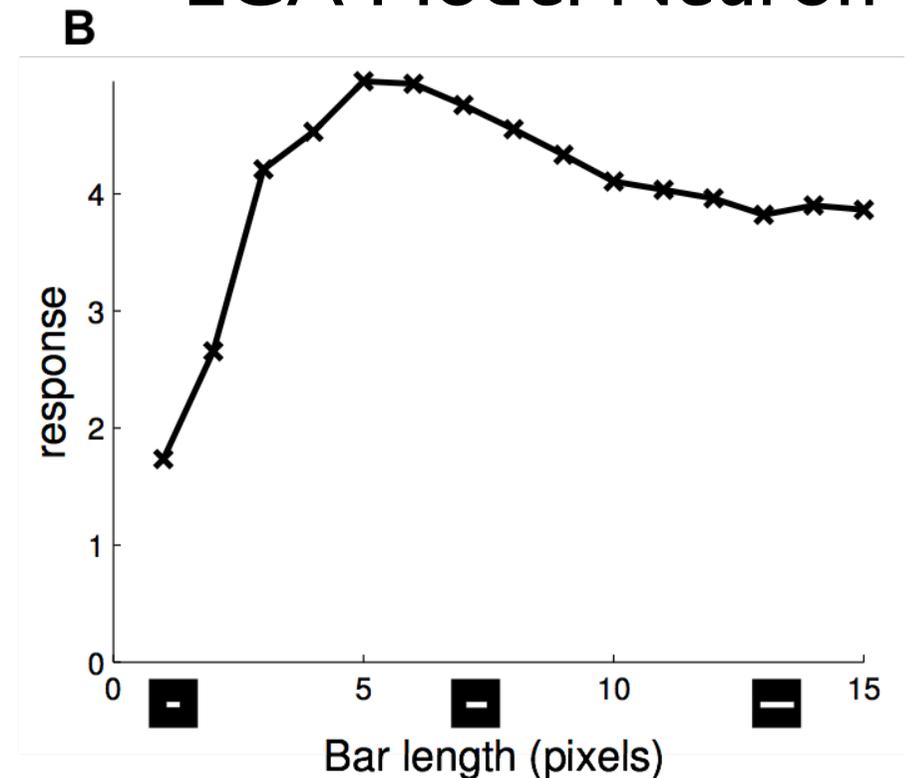
# Non-Classical Receptive Field Effects

## End-Stopping

### A Cat VI Simple Cell



### B LCA Model Neuron

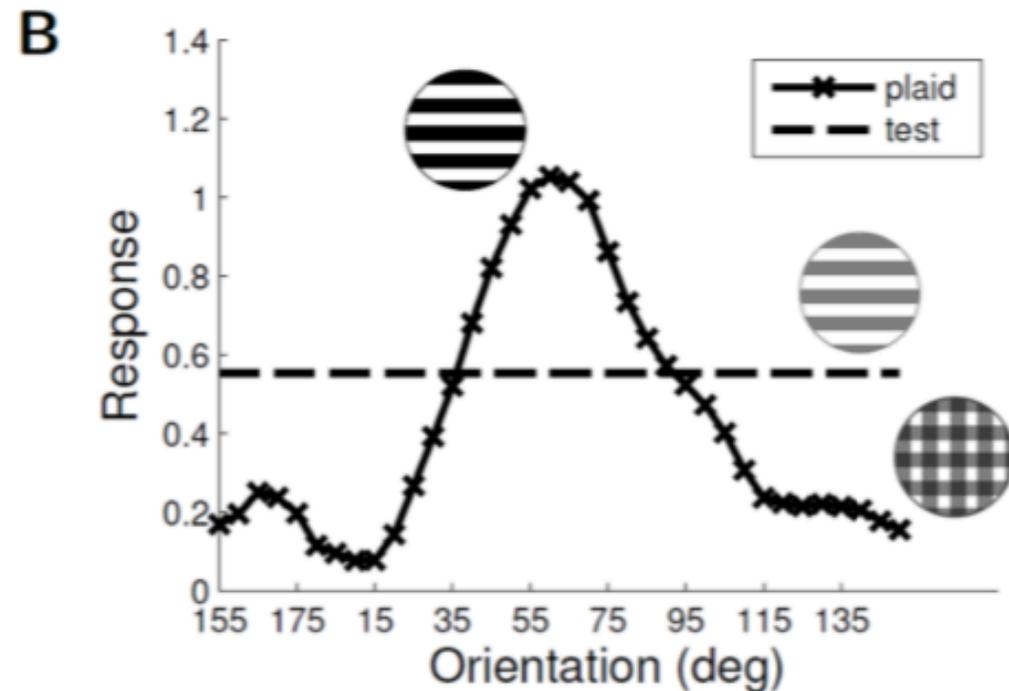
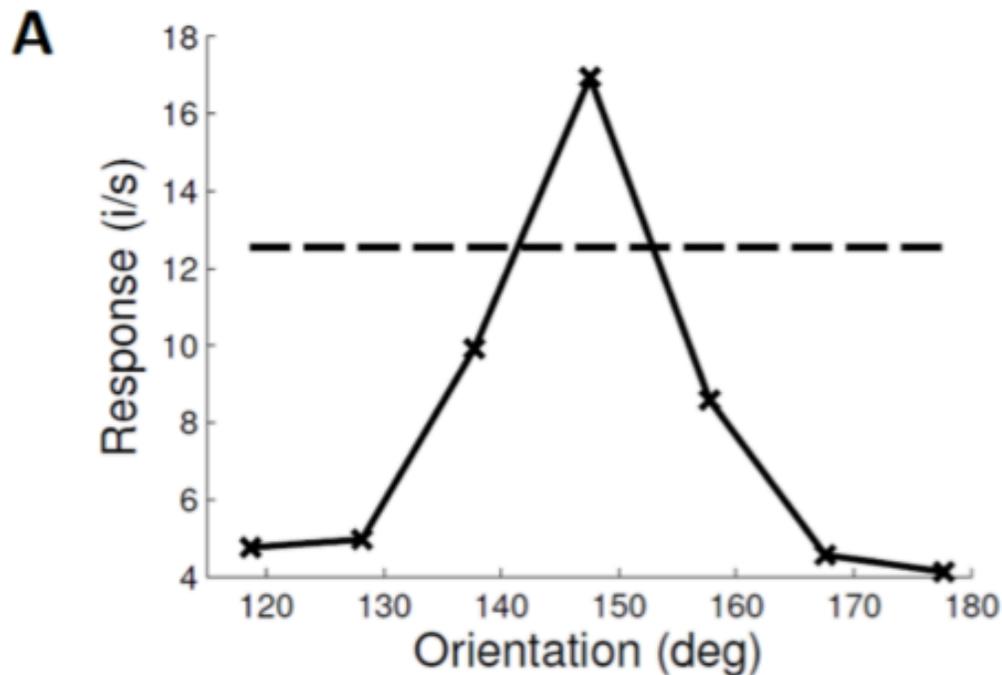


# Non-Classical Receptive Field Effects

## Cross Orientation Suppression

### Cat VI Simple Cell

### LCA Model Neuron



# Non-Classical Receptive Field Effects

Other effects compared in this paper:

- End-stopping
- Surround suppression/facilitation
- RF expansion
- contrast invariant orientation tuning
- cross-orientation suppression

# Evidence for sparse coding

Mushroom body, locust (Laurent)

HVC, zebra finch (Fee)

Auditory cortex, mouse (DeWeese & Zador)

Hippocampus, rat/primate (Thompson & Best; Skaggs)

Motor cortex, rabbit (Swadlow)

Barrel cortex, rat (Brecht)

Visual cortex, monkey/cat (Vinje & Gallant)

Visual cortex, cat (Gray; McCormick)

Inferotemporal cortex, human (Fried & Koch)

Olshausen BA, Field DJ (2004) Sparse coding of sensory inputs. *Current Opinion in Neurobiology*, 14, 481-487.